

**Course Description**  
**Problem Bank Supervision**  
**July 18-22, 2005**

**Audience:** Examiners who have experience as an Examiner in Charge of banks; or examiners with problem bank examination experience; or examiners with onsite and offsite examination experience who will likely deal with problem banks. Bank attorneys may also wish to attend to understand the enforcement actions and bank resolution options used by OCC. This course places primary emphasis on on-site examination work.

**Course Objectives:** Upon completion, a participant should be able to:

- Recognize emerging problems in banks
- Identify appropriate supervisory strategies and enforcement remedies available for supervising banks
- Determine resolution alternatives

**Course Topics:**

IDENTIFICATION OF ROOT CAUSES &  
APPROPRIATE SOLUTIONS

COMMUNICATION WITH BANKS

SUPERVISORY APPROACH

PROMPT CORRECTIVE ACTION

ENFORCEMENT ACTIONS AGAINST  
INDIVIDUALS

BANK RESOLUTION OPTIONS

BANK ENFORCEMENT ACTIONS

BANK CLOSINGS

DETERMINE COMPLIANCE WITH  
ENFORCEMENT ACTIONS

BANK FAILURE STUDY

**Note:** This course requires class participation. Participants will work in teams on a case study, or classroom exercises.

**Pre-Course Reading Materials**

PPM 5000-7 (REV) Civil Money Penalties

PPM 5310-3 (REV) Enforcement Action Policy

Banking Circular 268 - Prompt Corrective Action

**Class Location:** Office of the Comptroller of the Currency  
250 E. Street, SW  
Washington, D.C. 20219

**Cost:** The cost for attending this session is \$150 which will need to be paid via credit card, either VISA or Master Card.

**Lodging:** Participants are responsible for making their own travel and hotel arrangements.