Comptroller of the Currency
Administrator of National Banks

US Department of the Treasury

ENSURING A SAFE AND SOUND
NATIONAL BANKING SYSTEM
FOR ALL AMERICANS

OCC
BANKING RELATIONS

Understanding the OCC's Risk Assessment Process

Register Today!

Little Rock • March 21
Scottsdale • March 28*
Columbus • April 25*
Harrisburg • May 23
Chicago • July 25*

Workshop *for Community Bank Directors*

Understanding the OCC's I

Workshop for Comm

"Directors need to understand the full gamut of risks – financial and non-financial – that banks face today. If those risks are not well understood and managed, they can result in serious damage to a bank's reputation and its bottom line."

John Dugan
Comptroller of the Currency

Fresh Challenges for Community Bank Directors

Effectively measuring and managing risk is crucial to the success of community banks in these days of economic uncertainty. While bank managers deal with risk-related issues day-to-day, directors must maintain a level of knowledge that will best enable them to fulfill their oversight responsibilities.

This one-day workshop combines lectures, discussions, and exercises designed specifically for outside directors of national community banks to:

- Enhance understanding of the OCC's approach to risk-based supervision.
- Increase familiarity with the major risks in commercial banking.
- Learn which risk-related questions should be asked of managers, auditors, and regulators.
- Review common ways to identify, measure, monitor, and control risk.

Communicate with the Regulators

You will interact with three instructors who are experienced bank examiners from the OCC. These are seasoned professionals who regularly assess bank risk and risk management systems, and meet with bank managers and directors to discuss their findings and evaluations. They will share their experiences to help you improve your knowledge and skills. Enrollment is limited to 50 national community bank directors per workshop to optimize the opportunity for open and targeted dialogue. Pre-course reading materials will also be made available to enhance your workshop experience.

Who Should Attend

This workshop is geared primarily to outside directors of national community banks with assets less than $1B. However, management directors could also benefit from attendance.

Meet the In

Sheila Van Ornum
Assistant Deputy Comptroller, Central District

Chris Dunn
Assistant Deputy Comptroller, Southern District

Gary Baranowski
Assistant Deputy Comptroller, Central District

Risk Assessment Process

unity Bank Directors

AGENDA

Attire is business casual.

Reception

A reception will be held from 5:00 p.m. to 7:00 p.m. the evening preceding the workshop. Attendees can meet informally with the OCC faculty. Hors d'oeuvres and beverages will be served.

Workshop

7:00 AM	**Registration and Continental Breakfast**
8:00 AM	**Morning Session** • Introductions and Program Overview • Director Duties and Responsibilities • CAMELSI and Risk Assessment Overview • Reputation and Strategic Risk • Interest Rate Risk • Group Exercises
NOON	**Lunch**
1:00 PM	**Afternoon Session** • Liquidity Risk • Operational Risk • Credit Risk • Group Exercises • Wrap-up
4:00 PM	**Adjourn**

Reaction from Past Participant

"Great overview especially for outside directors. It helped eliminate the regulator mystery — the how, what and why you look at various risks."

nstructors

Randy Fenimore
Assistant Deputy Comptroller, Southern District

Janice McQuary
Assistant Deputy Comptroller, Southern District

Tom Munz
Assistant Deputy Comptroller, Central District

Dates and Locations

- **Little Rock, Arkansas**
  March 21, 2006
  Peabody Hotel
  Hotel Reservations: (501) 906-4000
  Room Rate: $129.00
  www.peabodylittlerock.com

- **Scottsdale, Arizona***
  March 28, 2006
  Fairmont Hotel
  Hotel Reservations: (866) 540-4495
  Room Rate: $299.00
  www.fairmont.com

- **Columbus, Ohio***
  April 25, 2006
  Westin Great Southern Hotel
  Hotel Reservations: (614) 228-3800
  Room Rate: $135.00
  www.greatsouthernhotel.com

- **Harrisburg, Pennsylvania**
  May 23, 2006
  Hilton Hotel
  Hotel Reservations: (800) 445-8667
  Room Rate: $119.00
  www.harrisburg.hilton.com

- **Chicago, Illinois***
  July 25, 2006
  Millennium Knickerbnocker Hotel
  Hotel Reservations: (312) 751-8100
  Room Rate: $139.00
  www.millenniumhotels.com

All hotel room rates are guaranteed until 30 days prior to the workshop date. Some hotels may honor the rate before and after the workshop. When making reservations, please mention the function name: OCC Bank Directors' Workshop.

Hotel rates for this program have been discounted. To make your reservations on a space-available basis, please contact the hotel directly.

Arriving the night before?

A reception will be held from 5:00 p.m. to 7:00 p.m. the evening preceding each workshop. Attendees can meet informally with the OCC faculty. Hors d'oeuvres and beverages will be served.

Registration Fee

The registration fee is $65 payable at the time of registration. The price includes:

- Pre-course reading package
- Course materials
- Continental breakfast and lunch
- CD of the OCC's popular "Audit and Internal Controls" Telephone Seminar
- Bank Director's Toolkit, which includes The Director's Book, Red Flags in Board Reports, and the Internal Controls guide
- Community Bank Supervision Handbook

Special Needs

If you require special accommodations to participate in this conference, please call 202-874-6415.

Cancellation Policy

All cancellations must be in writing. Cancellations will be accepted by fax notification to 336-644-6205. If cancellation is received within one month of the workshop, there will be no refund issued.

***Consecutive session with the credit risk and/or compliance risk workshops. Participants may attend one or more of these sessions.**

Comptroller of the Currency
Administrator of National Banks

OCC Community Bank Director

Risk Assessment Workshop Registration Form

PART 1

- ☐ **March 21, 2006** Little Rock, AR
- ☐ **March 28, 2006*** Scottsdale, AZ
- ☐ **April 25, 2006*** Columbus, OH
- ☐ **May 23, 2006** Harrisburg, PA
- ☐ **July 25, 2006*** Chicago, IL

Please register on-line at www.cmpmeetings.com/occ, fax registrations to 336-644-6205 or mail to CMP Meeting Services – Registration Center, 3505 Vernon Woods Drive, Summerfield, NC 27358, USA

- Registrations will be accepted on a space available basis only. A wait list will be established for all locations at which sessions are at maximum capacity.
- Registration fee for the workshop is **$65.00 per participant.**
- **All cancellations must be in writing.** Cancellations will be accepted by fax notification to 336-644-6205. If cancellation is received within one month of the workshop, there will be no refund issued.
- Completion of this form in its entirety is required for conference registration.

Bank Information

Bank Name: _____ Asset Size $ _____ (Millions)

Bank Address: _____
Street

_____
City State Zip Code

Telephone: _____

Payment Information

Billing Name: _____

Billing Address: _____
Street

_____
City State Zip Code

Credit Card Type: ☐ Amex ☐ Visa ☐ MC Expiration Date: _____

Credit Card Number: _____

Signature of Cardholder: _____

If other payment arrangements are needed, please contact the reservation center: 336-451-0553 or regcenter@cmpmeetings.com

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OCC Community Bank Director

Risk Assessment Workshop Registration Form

PART 2

Attendee Information

Name: Mr./Ms./Mrs./Dr. _____
(Please circle one) First Name Last Name

Name on Badge _____
First Name Last Name

Title: _____ Director: ☐ Inside ☐ Outside ☐ Other

Attendee Address: _____
Street

_____
City State Zip Code

Work Telephone: _____ Fax: _____

E-mail: _____

Emergency Contact

Emergency Contact Name: _____
(friend or family)

Emergency Contact Phone Number: (Home or Cell) _____
(do not use the bank phone number)

Special Needs/Comments

If you have special needs that may affect your participation in this event, please check here ☐.
OCC will contact you to discuss your needs.

If you have any comments or additional information concerning your registration that you wish to add, please do so below.

_____

_____

_____

For workshop information, please contact **336-644-8914.** Registration Center Hours: 8:30 a.m. – 5:00 p.m. EST.

FOR REGISTRATION CENTER USE ONLY CONFIRMATION NUMBER: _____