

Eric Ellis

Director for Bank Secrecy Act/Anti-Money Laundering Policy

Eric Ellis is the Director for Bank Secrecy Act/Anti-Money Laundering (BSA/AML) Policy at the Office of the Comptroller of the Currency (OCC).

In this role, Mr. Ellis directs policy related to BSA/AML, countering the financing of terrorism (CFT), and Office of Foreign Assets Control (OFAC) for OCC examiners and supervised banks and manages the BSA/AML Policy staff. Before serving in this role, he was a BSA/AML Policy Specialist, primarily focused on implementing the AML Act of 2020. Mr. Ellis also previously served as a BSA/AML Compliance Examiner in the OCC's Large Bank Supervision Division, which includes the largest and most complex national banking companies and federal savings associations, as well as federal branches and agencies of foreign banks. He is a member of several Bank Secrecy Act Advisory Group Subcommittees and other interagency groups involving BSA/AML, CFT, OFAC, fraud, payments, and responsible innovation.

Before joining the OCC in 2016, Mr. Ellis worked in private industry in various BSA/AML-related roles.

Mr. Ellis received his master's degree in business administration (business law) from Suffolk University, Sawyer Business School and is a summa cum laude graduate of Baker College with a bachelor's degree in business administration (finance). He is also a Certified Anti-Money Laundering Specialist.

