

Operational Risk



Navigating Rapid Changes

2016 Workshop Locations

Corpus Christi, TX

May 18

Sioux Falls, SD

July 20

Tampa, FL

July 27

New York, NY

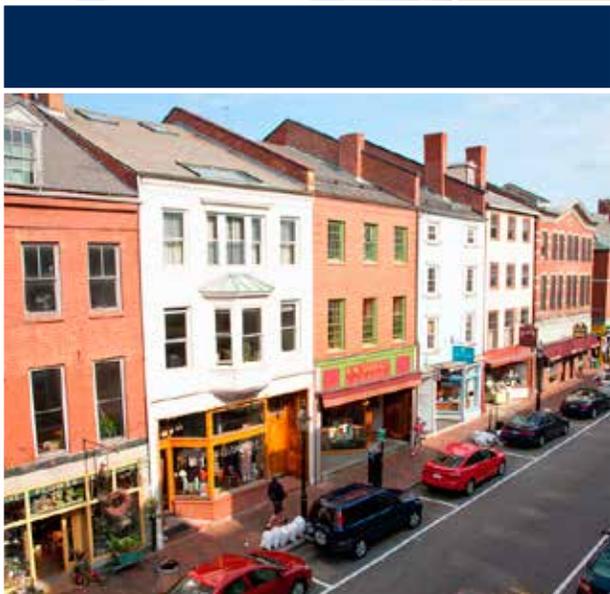
October 5

Cincinnati, OH

November 10

Little Rock, AR

November 16



2016 OCC Community Bank Director Workshops

Operational Risk Navigating Rapid Changes

Operational risk occurs in many different areas in a financial institution. It is the risk to current or anticipated earnings or capital arising from

- inadequate or failed internal processes or systems,
- the misconduct or errors of people, and
- adverse external events.

Directors need to be informed of the numerous ways these risks occur and be aware of what directors can do to help mitigate them.

This newly developed one-day workshop combines lectures, discussions, and exercises designed specifically for outside directors of national community banks and federal savings associations. Areas that will be covered are

- defining major operational risks and the related compliance responsibilities.
- understanding board and management roles and governance responsibilities.
- planning for management succession and retention of key staff.
- reviewing methods for oversight of third-party vendors.
- understanding the increased volume and sophistication of cyber threats.

Communicate With the Regulators

You will interact with instructors who are experienced OCC examiners. They are seasoned professionals who regularly assess operational risk management systems and meet with senior managers and directors to discuss their findings and evaluations. They will share their experiences to help you improve your knowledge and skills. Enrollment is limited to 35 directors per workshop to allow open and targeted dialogue.

Who Should Attend

This workshop is geared primarily to outside directors of national community banks and federal savings associations. Management directors could also benefit from attendance.

Meet the Instructors



Nanalie Andress
*Assistant Deputy Comptroller
Southern District*



Debra Garland
*National Bank Examiner
Midsize and Community Bank
Supervision*



Lester Hill
*National Bank Examiner
Operational Risk and Core Policy*



Judi McCormick
*Bank Examiner
Operational Risk and Core Policy*



Hub Thompson
*National Bank Examiner
Southern District*



Mark Zeihen
*Assistant Deputy Comptroller
Central District*

Agenda

Attire is business casual.

Workshop

Registration begins at 7:00 a.m. The workshop begins promptly at 8:00 a.m. and ends by 4:00 p.m. Lunch is provided.

The workshop covers

- introduction to operational risk.
 - board oversight responsibilities and governance/audit.
 - key components to consider: people, processes, and systems.
 - hiring and training the right people.
 - appropriate processes and policies.
 - systems risk, third-party risk, and vendor management.
 - cyber risk.
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Part 1

May 18, 2016
Corpus Christi, TX*

July 20, 2016
Sioux Falls, SD*

July 27, 2016
Tampa, FL*

October 5, 2016
New York, NY*

November 10, 2016
Cincinnati, OH*

November 16, 2016
Little Rock, AR*

Please register online at www.seiservices.com/occ, fax registrations to (240) 485-1982, or mail to SEI Services, Attn: OCC 2016, 8757 Georgia Ave., Suite 1440, Silver Spring, MD 20910.

- You must be on the board of directors of a national community bank or federal savings association.
- Registrations are accepted on a space-available basis only. A wait list will be established for all sessions that reach maximum capacity.
- Registration fee for the workshop is \$99 per participant.
- All cancellations must be in writing. Cancellations are accepted by e-mail to occ@seiservices.com or by fax to (240) 485-1982. If a cancellation is received less than one month before the workshop, no refund will be issued.
- Completion of this form in its entirety is required for conference registration.

Institution Information

Institution Name: _____

Asset Size \$
(Millions)

< 50

50-99

100-149

150-249

250-499

500-749

750-1B

>1B

Institution Address: _____
Street

City

State

Zip Code

Telephone: _____

Point of Contact: _____

Payment Information

Billing Name: _____

Billing Address: _____
Street

City

State

Zip Code

Credit Card Type: Amex Visa MC Expiration Date: _____

Credit Card Number: _____

Security Code: _____

Signature of Cardholder: _____

If other payment arrangements are needed, please contact the reservation center at (240) 485-1700 or occ@seiservices.com.

* Consecutive session with the compliance risk or credit risk workshops. Participants may attend one or more of these sessions.

Part 2

Attendee Information

Name: Mr./Ms./Mrs./Dr. _____
(Please circle one) First Name Last Name

Name on Badge: _____
First Name Last Name

Title: _____ Director: Inside Outside Other

Home Address: _____
Street

City State Zip Code

Daytime Telephone: _____ Fax: _____

Attendee E-mail: _____

Assistant's E-mail: _____

Emergency Contact

Emergency Contact Name: _____
(friend or family)

Emergency Contact Phone Number (Home or Cell): _____
(do not use the bank phone number)

Comments

If you have any comments or information concerning your registration that you wish to add, please do so below.

For workshop information, please contact (240) 485-1700. Registration center hours: 8:30 a.m.–5:30 p.m. Eastern time.

FOR REGISTRATION CENTER USE ONLY

CONFIRMATION NUMBER: _____

Dates and Locations

Corpus Christi, TX*—May 18, 2016

Omni Corpus Christi Hotel
Hotel Reservations: (361) 887-1600
Room Rate: \$156.00
www.omnihotels.com

Sioux Falls, SD*—July 20, 2016

Sheraton Sioux Falls & Convention Center
Hotel Reservations: (605) 331-0100
Room Rate: \$112.00
www.sheraton.com/siouxfalls

Tampa, FL*—July 27, 2016

Holiday Inn Tampa Westshore
Hotel Reservations: (813) 289-8200
Room Rate: \$119.00
www.tampahi.com

New York, NY*—October 5, 2016

OCC Northeastern District Office
340 Madison Ave., 5th Floor
New York, NY 10017
For registration and accommodation information, go to
<http://www.occ.gov/conference.htm>.

Cincinnati, OH*—November 10, 2016

Hyatt Regency Cincinnati
Hotel Reservations: (513) 579-1234
Room Rate: \$159.00
www.cincinnati.hyatt.com

Little Rock, AR*—November 16, 2016

Wyndham Riverfront Little Rock
Hotel Reservations: (866)-657-4458
Room Rate: \$139.00
www.wyndham.com

Hotel room rates for each location are guaranteed until the following dates:

Omni Corpus Christi, Corpus Christi, TX	April 18, 2016
Sheraton Sioux Falls & Convention Center, Sioux Falls, SD	June 20, 2016
Holiday Inn Tampa Westshore, Tampa, FL	June 27, 2016
Hyatt Regency Cincinnati, Cincinnati, OH	October 11, 2016
Wyndham Riverfront Little Rock, Little Rock, AR	October 16, 2016

Hotel rates for this program are discounted. To make your reservations on a space-available basis, please contact the hotel directly. Some hotels may honor the rate before and after the workshop. When making reservations, please mention the function name: OCC Director Workshops. If you encounter difficulties in making your reservations, please call (240) 485-1700 for assistance.

Registration Fee

The registration fee is \$99, payable at the time of registration. The price includes

- course materials.
- The Director's Toolkit, which includes *The Director's Book*, "Detecting Red Flags in Board Reports," and the "Internal Controls" booklet of the *Comptroller's Handbook*.
- lunch.

Special Needs

If you require special accommodations to participate in this workshop, please call (202) 649-6733.

Cancellation Policy

All cancellations must be in writing. Cancellations are accepted by e-mail to occ@seiservices.com or by fax to (240) 485-1982. If a cancellation is received less than one month before the workshop, no refund will be issued.

Questions

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