



## COMPLIANCE RISK

### What Directors Need to Know

**WASHINGTON, DC\***  
March 18, 2020

**MINNEAPOLIS, MN\***  
May 20, 2020

**DES MOINES, IA\***  
July 21, 2020

**NASHVILLE, TN\***  
August 12, 2020

**ST. LOUIS, MO\***  
September 22, 2020

**SAN DIEGO, CA\***  
November 3, 2020

Effective oversight of consumer laws and regulations can reduce the risk of financial loss, ensure quality service for customers, and preserve a sound reputation. Directors must recognize the scope and implications of the legislation and consumer protection regulations, such as the Bank Secrecy Act and the Equal Credit Opportunity Act. This one-day workshop focuses on compliance red flags, hot topics, and common OCC examination findings related to compliance risk. Be ready to respond to the rapidly changing financial services industry and to fulfill your responsibilities with confidence by registering to attend this one-day workshop for \$99 in one of these cities.

\*Consecutive sessions with the Risk Governance, Credit Risk, or Operational Risk workshops.

# 2020 OCC COMMUNITY BANK DIRECTOR WORKSHOPS

## Compliance Risk

### What Directors Need to Know

- Understand the critical elements of an effective compliance risk management program.
- Review major compliance risks and critical regulations, such as the Bank Secrecy Act and the Equal Credit Opportunity Act.
- Identify compliance red flags and hot topics.
- Learn about common OCC examination findings related to compliance risk.

Work with and learn from experienced OCC examiners who lead this one-day workshop, which includes discussions of best practices, lectures, and hands-on exercises designed for directors and senior management of national community banks and federal savings associations. The \$99 cost includes workshop materials and lunch.

**DON'T DELAY. REGISTER TODAY!** The workshop is limited to the first 35 directors and senior managers who register. For complete information and to register, go to [www.occ.gov/occworkshops](http://www.occ.gov/occworkshops).

After registration is confirmed, call the hotel or book online to reserve your room. Tell the hotel you are attending the OCC Director Workshop. If you encounter difficulties in making reservations, please visit [www.occ.gov/occworkshops](http://www.occ.gov/occworkshops) and select Contact Us for assistance.

#### **WASHINGTON, DC\* - MARCH 18, 2020**

OCC Headquarters  
400 7th St., SW  
Washington, DC 20219  
See [www.occ.gov/occworkshops](http://www.occ.gov/occworkshops) for registration and accommodation information

#### **MINNEAPOLIS, MN\* - MAY 20, 2020**

Embassy Suites Minneapolis Airport  
Hotel Reservations: (952) 854-1000  
Discounted Room Rate: \$157.00  
For discounted rate, book by April 27, 2020  
[www.hilton.com](http://www.hilton.com)

#### **DES MOINES, IA\* - JULY 21, 2020**

Renaissance Des Moines Savery Hotel  
Hotel Reservations: (800) 468-3571  
Discounted Room Rate: \$109.00  
For discounted rate, book by June 15, 2020  
[www.marriott.com](http://www.marriott.com)

#### **NASHVILLE, TN\* - AUGUST 12, 2020**

Holiday Inn Nashville-Vanderbilt  
Hotel Reservations: (615) 327-4707  
Discounted Room Rate: \$184.00  
For discounted rate, book by July 10, 2020  
[www.ihg.com](http://www.ihg.com)

#### **ST. LOUIS, MO\* - SEPTEMBER 22, 2020**

Embassy Suites St. Louis Downtown  
Hotel Reservations: (314) 269-5900  
Discounted Room Rate: \$174.00  
For discounted rate, book by August 31, 2020  
[www.embassysuites.com](http://www.embassysuites.com)

#### **SAN DIEGO, CA\* - NOVEMBER 3, 2020**

Hilton San Diego Mission Valley  
Hotel Reservations: (619) 543-9000  
Discounted Room Rate: \$173.00  
For discounted rate, book by October 5, 2020  
[www.hiltonmv.com](http://www.hiltonmv.com)